

Contracting Exceptional Divisors Ctd

NMP & KRF: $(X, \omega) =$ projective manifold

$$g_{i\bar{j}} = -R_{i\bar{j}}, \quad \omega = \frac{i}{2\pi} g_{i\bar{j}} d\bar{z}^j \wedge dz^i, \quad [\omega] = c_1(L) \text{ angle}$$

$$\dot{\omega} = -Ric \Rightarrow [\dot{\omega}(t)] = [\omega_0] + t c_1(K_X)$$

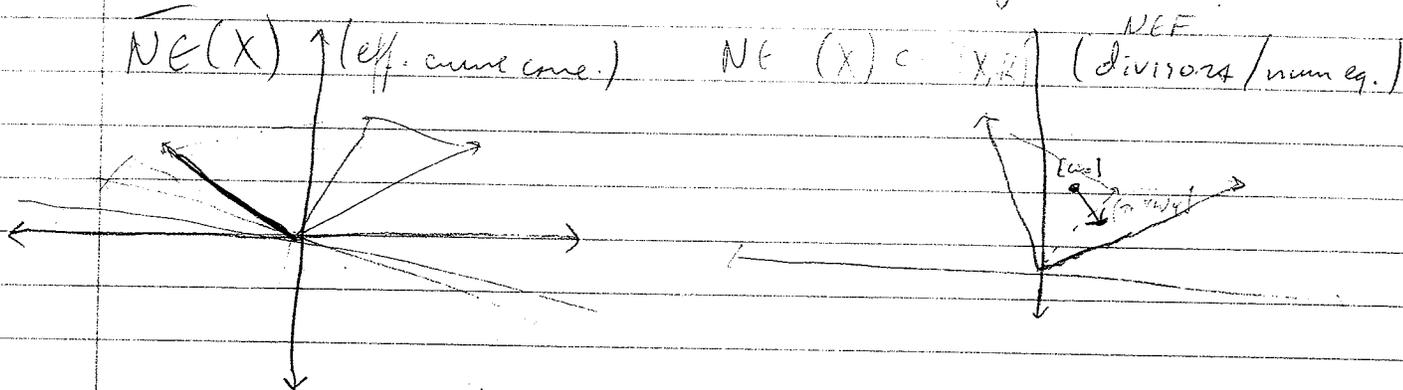
(Thm (Cao)) Flow exists as long as $[\omega_0] + t c_1(K_X) > 0$

Say $T < \infty$ s.t. $[\omega_0] + T c_1(K_X)$ nef, not positive.
 $\Rightarrow \exists$ curve $C \subset X$ s.t. $\int_C \omega(T) = [\omega(T)] \cdot C = 0$

Kawamata: $T \in \mathbb{Q}$, so $[\omega_0] + T c_1(K_X) = [L + T K_X] \in \mathbb{Q}$ -div
and semi-angle (h.g.f.)

$\Rightarrow |r(L + T K_X)|$ gives map $\pi_C: X \rightarrow \mathbb{P}^1$,

$(L + T_0 K_X), C = 0 \Rightarrow$ map contracts C , at (very) east.
 (map doesn't see C) ~~(at very least...)~~



Planes $[\omega(t)]^\perp$ move until hit extremal ray.
 (Will happen if K_X not nef: def'n of extremal rays.)
 (in fact \perp to whole wall)

If starting class was generic, hit a wall of max dim in NEF(X) \Rightarrow contract exactly one ray.

Do the projective restant flow.
 (but in the orbifold)
 collapse, C goes away, you V positive curve
 Repeat. C and K_X
 close is (definition)

Problems: \mathbb{C} and flows, $\dim \rightarrow 3$.

Algebra: What's π_1 ? - Construction, flip, fibering... $\dim > 3$? (SAY DEF.)
~~mod. divisors~~

• $\pi_1(X)$ often singular, ^{what's π_1 ?} ~~metric~~ Ricci flow? (Weak KRF)
- Tian: do degenerate RF on X ... but that's not a step... (SW II)

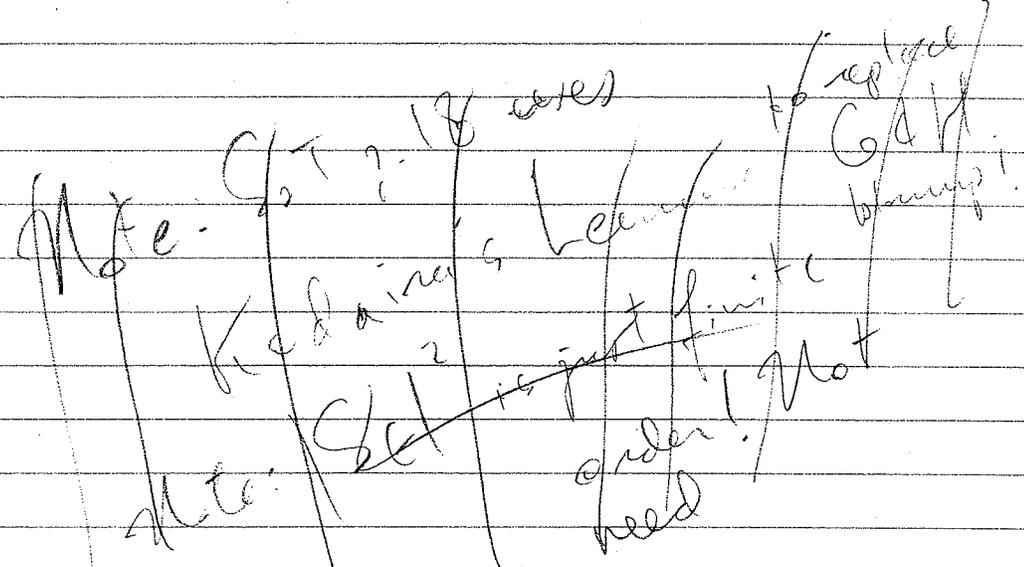
- What's K_X ? Does semi-ampleness hold for X singular? Rel. amplity? orb: fold is distinct from X
- Can do blow-down to singular, but need step? $\rightarrow L^p$

Kodaira's Lemma: Analysis: limiting metric descends, nice enough to extend flow? b/c right cohomology - Condition A?

Geometry: get GH convergence (taking metric completion $(X) = Y$) but not get for flips. (currently not even topology works)

("Analytic surgery" = do-it-yourself.)
("Canonical surgery")

Def: Canonical Subsequent Contractions



~~Defining Subsequent "Effective" divisors, w. rec. points.~~

(Let's just do analysis and see what we can recover of Y .)

Let $\pi: X \rightarrow Y$ contract $E = \mathbb{P}^1$, w/o Kähler s.t. $[\omega_0] + Td(K) = [\pi^* \omega_Y]$
and take $\hat{\omega}_t = (1-t)\omega_0 + t\pi^* \omega_Y$, $\int \hat{\omega}_t = 1$ (normalized)

Let $\omega(t) = \hat{\omega}_t + i\partial\bar{\partial}\phi$, $\omega(0) = \omega_0$ be RF \mathbb{P}^1
 $\Rightarrow \dot{\phi} = -\log(\frac{\omega_0}{\hat{\omega}_t + i\partial\bar{\partial}\phi})$

To get invariant vector field (Proof needs to be in the next part)

Uniformly continuous for $t \in [0, T)$: (Treat as φ_t)

2.1) $\|\varphi_t\|_\infty \leq C$

$\dot{\varphi} \leq C$

$\omega \in C^\infty \Omega$ - fixed v.f. form on X

$\varphi_t \rightarrow \varphi_T$ pointwise, but they go to closed pos. (1,1)-form.

(proof: max princ, etc)

2.2) (i) $\omega \geq C \pi^* \omega_0$ (best one can bound e-val's from below.)

(ii-iv) For $K \subset X \setminus E$ cpt, $\omega \in C^\infty$ hold & converges in C^∞ on K to smth Kahler ω_T .

Proof: (i) (Use the) Schwartz. (ii-iv) $\omega_t \sim \omega_0$ on K , so (i) \Rightarrow e-val's hold below (don't std parabolic theory).

Cor: ω satisfies "Condition A" of SoT (they failed to effective, unim. princ. defining action - see diff Schwartzes?)

Pf: (i) $\omega \geq \pi^* \omega_0 \geq |S_E|^{2k} \omega_0 = K_{k,E} \omega_0$, some K , just b/c π algebraic \Rightarrow gives finite power. (conf.)

(I wanted to write just that this is a π -pullback, later in π -ords, see $k=1$ in our case. Medical GAH)

Rank: This all works for $\pi: X \rightarrow Y$ s.t. $\pi^* \omega_0 = [\omega_t] = [\omega_0]$ smooth, \mathbb{Q} -fact. terminal sing? (RF limit s.t. vol. depends to π on angle class)

Paper II: "smooth" orb folds.

This is already enough to continue the flow using weakly SoT.

Just remark that since $\hat{\omega}_T|_E = T^* \omega_T|_E = 0$,
 $\frac{i}{2\pi} \partial \bar{\partial} \psi_T|_E = \omega(T)|_E \geq 0$, so max. princ.
 $\Rightarrow \psi_T = \text{const}$
 $\wedge \omega(T)|_E = 0$.

$\Rightarrow \psi_T$ descends to ψ_T bdd on Y (pluripotential theory, Kodaira's theory, needed for flow conv.)
 \Rightarrow t. $\omega' := \omega_T + \frac{i}{2\pi} \partial \bar{\partial} \psi_T \geq 0$ (closed, pos. (1,1) current)
 And $(\frac{\omega'}{\omega_T})^n \in L^p(Y)$, as before b/c $\omega(T) < \Omega$ on X for flow conv.

$$\left(\int_{Y, \psi_T} \left(\frac{\omega'^n}{\omega_T^n} \right)^p \omega_T^n = \int_{X \setminus E} \left(\frac{\omega(T)^n}{(\pi^* \omega_T)^n} \right)^p (\pi^* \omega_T)^n \leq C \int_{X \setminus E} \left(\frac{\Omega}{(\pi^* \omega_T)^n} \right)^{p-1} \Omega < \infty \right)$$

So T : flow continuous, smooth & nondeg. for $t > T$.
 (SW II: Becomes nondegen. smooth orbifold metric for $t > 0$ (better than deg. flow on singular X))

MA eq'n changes and then ~~restated~~ restated flow sat a RF eq'n ~~(flowing on the other side)~~ at $t = T$ (initial)

Need higher-order cut's (PSS) ~~will try, given time~~
 (How generally can they do them? Flips?)

For higher order, need to cut off things & conv. as $t \rightarrow T^+$, $x \rightarrow E$, in order to (have a chance to) control the approximating flows $\omega(t)$ (on the other side) as $t \rightarrow T^+$, to show $\omega(t)$ solves RF at $t = T$ (just need $\omega'(T^+)$ exists.)

Don't know what's the other side $t \rightarrow T^+$

Now: does this do it? Def: Can control sang. cont.

$E = \cup D_i$
 (i) smooth map $\chi \in (1,2)$ spaces $(\chi, D_i) \rightarrow (1, \omega_i)$ (1,2) spaces

Had: crude upper & sufficient bound to control the flow.
 (Want real control, ~~metric~~ \rightarrow $\mathcal{O}(\epsilon)$ on/near E .)

Recall blowing (setup): charts $\tilde{D}_i \rightarrow D_i$

$E \cap \tilde{D}_i = \{z=0\} = \{z^2=0\}$ $(z, w) \rightarrow z \tilde{w} = (z, w)$

Section s_i of $[E]$ given by $s|_{\tilde{D}_i} = z^2$ (part of 1.)

Refine metric h s.t. $|s|_h|^2 = \epsilon |z|^2 = \epsilon r^2$ 1 metric

$R(h) = -\frac{i}{2\pi} \partial \bar{\partial} \log(\epsilon |z|^2) \Rightarrow \omega_X := \pi^* \omega_Y + \epsilon \omega_{D_i}$ is Kähler (Kodaira) $\int R(h) = \epsilon^2 = -1$

2.5. Key Estimates: (i) $c_0 \pi^* \omega_Y \leq \omega_X \leq \frac{C}{|s|_h^2} \pi^* \omega_Y$ (ω_X ~~blowup~~)

(ii) $\frac{|s|_h^2}{C} \omega_0 \leq \omega_X \leq \frac{C}{|s|_h^{2\delta}} \omega_0$

GH convergence

~~logarithmic RF project~~
 using π^* of ω_Y ~~structure~~
 purpose ~~structure~~

Lemma 7.7 (state of the art)

(i) $\text{Diam}(S_r) \leq C$, $0 \leq r < \frac{1}{2}$

(ii) $x \in D_{1/2}$, $\gamma(\lambda) = \lambda x$, $\lambda \in (0, 1]$ $\Rightarrow \text{Diam}_g(x) \leq C$
 $\text{length}(\gamma) \leq |x|^{1/2} \cdot C$

Pf ω_Y ~~control~~ on $D \Rightarrow \omega \leq \frac{C}{r^2} \omega_{\text{end}}$ (i) above.)

(i) $d_g^{S^1}(p, q) \leq \sqrt{C} r^{-1} d_g^{S^1}(p, q) \leq \sqrt{C} \pi$

(ii) $\int_0^1 \sqrt{g(\gamma'(t), \gamma'(t))} dt \leq C \int_0^1 \frac{|\lambda x|}{|\lambda x|^{1-\delta}} d\lambda = \frac{C|x|^\delta}{\delta}$ (ii) above)

If you redo 2.5 with $\omega_X = \omega_{\text{end}}$ on $D_{1/2}$,
 $R_m(\omega_X) = 0|_{D_{1/2}} \Rightarrow$ get $(2 + \delta) \log \pi + \pi \omega_X \leq 0$,
 (geotonal curv) (your Schwarz p. even trigger) $\Rightarrow \delta = \frac{1}{2}$

Lemma 3.2 \exists uniform C s.t. $\forall p, q \in E, t \in [0, \tau]$
 $d_{\omega}^X(p, q) \leq C(\tau - t)^{1/3}$

Proof assume $E = \mathbb{P}^1$, $\dim X = 2$.

$$\int_E \omega(t) = \frac{1}{T} \int_E ((T-t)\omega_0 + t\pi^*\omega_1) = \frac{T-t}{T} \int_E \omega_0$$

Let $\varepsilon = (\tau - t)^{1/3}$ suff. small. (statement was equiv. to suff. small ε not some interval.) $\leq C(\tau - t)$

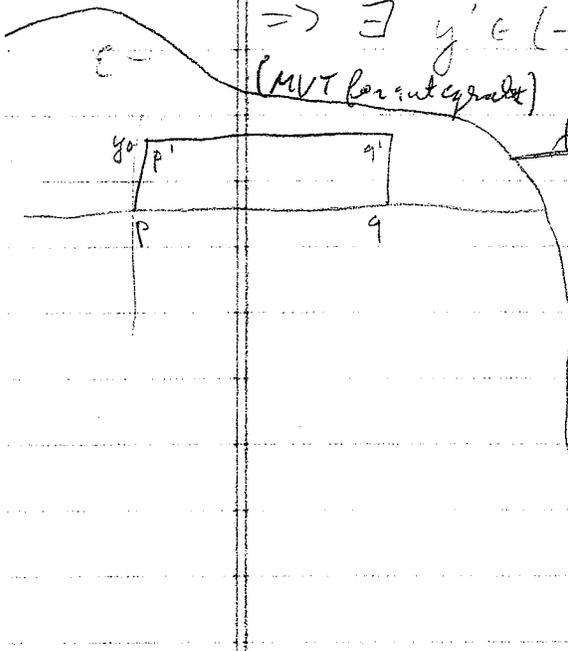
Assume wlog $p, q \in B_2(0) \subset \mathbb{C}^2$ in stereo. coords on $\mathbb{P}^1 = S^2$, this is bigger than a hemisphere. By compactness,

ω on $B_2(0)$ is unif. equivalent to restriction of g_0 to B_2 after any rotation (putting $p, q \in B_2(0)$).

Moreover assume $p = 0, q = (x_0, 0), 0 < x_0 < 1$, and $R = \{0 \leq x \leq x_0, -\varepsilon \leq y \leq \varepsilon\} \subset \mathbb{R}^2$

$$\int_R \log g dx dy = \int_{-\varepsilon}^{\varepsilon} \int_0^{x_0} g_0^{-1} g dx dy \leq C \int_E \omega \leq C(\tau - t)$$

$\Rightarrow \exists y' \in (-\varepsilon, \varepsilon)$ s.t. $\int_0^{x_0} \log g(x, y') dx \leq \frac{C}{\varepsilon}(\tau - t) = C(\tau - t)^{2/3}$
 (MVT for integrals)



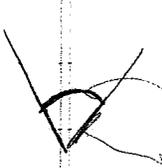
~~two to be added to p, on the sides?~~

$$\begin{aligned} d_{\omega}(p, q) &= \int_0^{x_0} \sqrt{g(x, y')} dx \\ &= \int_0^{x_0} \sqrt{\log g} \sqrt{g_0(x, y')} dx \\ &\leq \left(\int_0^{x_0} \log g(x, y') \right)^{1/2} \left(\int_0^{x_0} g_0(x, y') dx \right)^{1/2} \\ &\leq C(\tau - t)^{1/3} \end{aligned}$$

For the other Σ sides, have to go outside E .

$$d_w(p, p') + d_w(q, q') \leq C \epsilon$$

$$+ d_w(p', q') \leq 2C \epsilon$$



$d_w(p, q) \leq (2C + 2C) \epsilon$ by key est as per L 2.7 (i)

sub. small, 2.7 (ii)

For $\mathbb{P}^{n-1} \times X$, take a P' cont. X by II

Some have no control, on W_T at E except via its integral
(... could have geodesic disks whose circles fail to go to 0?)
Certainly could ^{fix} $c \in E$ close up to 0.

Messes up $(X \setminus E)_{g_T} \simeq (Y, d_T)$. Can you have \mathbb{R}^2 $d\theta^2$ missing the origin matters?
(E extend W_T by zero at $Y_0 =: d_T$.)

For $G-H$, guess what the maps are? (needs to be continuous. "Analytic surgery" at least $G-H$ has to be unique.)

METRIC COMPLETION ISSUE.

Right now, appears that KRF is rigid inward, not v.v.

can KRF be used to organize huge amt of data? Opposite.

RF used to impose structure, but on topology

Still: they may interact strongly

(Return to §.4 discussion of higher order = last part of "canonical surgical construction.")

Still have Smoothness at $t=T$ (away from $E \rightarrow \text{go}$)

Prop: $S \leq \frac{C}{|S|} (2A)$ (under T fact.)

Identity from PSS C^2 eq's (under T fact.)

$$h^a_p = g^a_{\bar{p}} \quad B_{j\bar{p}} = (\nabla_j h^a_{\bar{p}} h^{-1})^a_p \quad S = |B|^2 \text{ (no heat eq'n)}$$

$$h^{-1} = g^{\bar{p}a} \quad \hat{R} = Rm(g_0) = A_{j\bar{p}} - \bar{A}_{j\bar{p}} \quad (\text{norm. curv for } g)$$

Also: $\bar{h}^{-1} h = -g^{\bar{p}a} R_{\bar{p}a} = -R^a_{\bar{p}}$
 unconv'd: $\bar{h}_{j\bar{p}} = -g_{j\bar{a}} (Rm h^a_{\bar{p}})$

$$\Rightarrow \partial_{\bar{R}} B_{j\bar{p}} = \bar{R}_{j\bar{p}} - \bar{R}_{\bar{h}j}^{\bar{p}}$$

$$\Delta B = \nabla^{\bar{p}} \bar{h}_{j\bar{p}} B_{j\bar{p}} = -\nabla^{\bar{p}} \bar{R}_{j\bar{p}} + \nabla^{\bar{p}} \bar{R}_{\bar{h}j}^{\bar{p}}$$

$$= -\nabla_j R^{\bar{p}}_{\bar{h}} + \nabla^{\bar{p}} R_{\bar{h}j}^{\bar{p}}$$

no barred indices

$$\Delta S = (\Delta B, B) + (B, \Delta B) + |\nabla B|^2 + |\bar{\nabla} B|^2 \rightarrow \Delta - \bar{\Delta}$$

$$= -(\nabla R, B) - (R, \nabla B) + |\nabla B|^2 + |\bar{\nabla} B|^2 + B * R + \bar{B}$$

$$+ (\nabla^{\bar{p}} R_{\bar{p}q}, B) + (B, \nabla^{\bar{p}} R_{\bar{p}q})$$

Since You know Ricci, and $\nabla^{\bar{p}} = O(\nabla h^a_{\bar{p}}) = O(|B|) = O(|S|)$,
 get $\Delta S \geq -C_1 S - C_2$ for Calabi-Yau eq'n.

(Showing ∇ is flip sign, C_1, C_2 held) (This is a $\bar{\Delta}$ eq'n)
 We need to cancel ∇R & R with $\bar{\Delta}$, under RF.

Claim: $\bar{B}_{m\bar{p}}^{\bar{q}} = \nabla_m (h^{-1} h^{\bar{q}})^{\bar{p}}_m - \nabla_m R^{\bar{q}}_{\bar{p}}$

Pf:

$$(\nabla_j h^a_{\bar{p}})'_q = \{g^{-1} \partial_j (g h^a g^{-1}) g\}'_q \Rightarrow g' = g h^{-1} h, g^{-1} = -h^{-1} h' g^{-1}$$

$$= -h^{-1} h' \nabla_j h + \nabla_j (h^{-1} h) + \nabla_j (h^{-1} h' h) = \nabla_j (h^{-1} h) h + \nabla_j h (h^{-1} h')$$

Now mult. by h^{-1} . Cor: $KRF = YM$ flow. (2nd branch)

$$\Rightarrow (\Delta - \bar{\Delta}) S = (-\nabla_m R^{\bar{q}}_{\bar{p}} + \nabla_m R^{\bar{q}}_{\bar{p}}, B) + |\nabla B|^2 + |\bar{\nabla} B|^2$$

$$+ 2 \text{Re}(\nabla^{\bar{p}} R_{\bar{p}q}, B) + B * (Ric + \bar{g})^{\bar{p}}_{\bar{q}}$$

RF min rule.

$$(\Delta - \bar{\Delta}) S \leq -|\nabla B|^2 - |\bar{\nabla} B|^2 + C_1 S - C_2 (|Rm(g_0)|^2)$$

Yel said, only reason for $\bar{\Delta}$ is to have chance to control ω near ∂ .
 pss: show in ΔB the impact to flip S side
 Song-Weinkove: cook it up w/ ω to ω to ω chg. sign(S). Use Hamilton's inductive flow to bootstrap to $\nabla^m Rm$.

Part I:

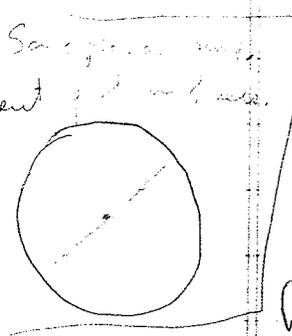
~~to do further~~
~~Read G/H part~~
~~rewrite p. 2 notes~~

(9)

One felt similarities
 = blow-up of P^{n-1} s.w./

normal bundle $O(-k) = L = \{[z_1, \dots, z_n, 0] \in P^{n-1} \times C^1\}$

Chart $D_i \ni (w, y) \mapsto (z_1, \dots, z_n, \frac{1}{y})$



Define $\pi: L \rightarrow C^n / Z_k = \{z_j / e^{2\pi i/k}\}$
 $([Z], (z_1^k, z_2^k, \dots, z_n^k)) \mapsto \sqrt[k]{z_j} (z_1, \dots, z_n)$ Well-def'd by 2nd factor.
 $D_i \ni (w, y) \mapsto \sqrt[k]{y_i} (w_1, \dots, w_n) \in C^n / Z_k$
 (could do this on blowing up, but not good w/ charts.) (2nd paper: long w/ stat second mess.)

But doesn't lift to orbifold chart \Rightarrow not smooth orb. map.
 the fact, $\pi^*(w_{\text{orb}})$ not smooth on L .
 \hookrightarrow smooth orbifold Kähler metric.
 (Z_k -invariant.)

Remark: define a section s of $[E]$,
 $\{s|_{D_i} = y_i\}$

metric h on L s.t.

$$|s|_h^2 = |y_i|^2 \left(\frac{\sum_j |z_j|^2}{|z_i|^2} \right)^k = \pi^* r^{2k}$$

also def: $\omega_{\text{orb}} = \frac{i}{2\pi} \partial\bar{\partial} (r^{2k})$, normy. orbifold (1,1)-form.

$$\pi^* \omega_{\text{orb}} = \frac{i}{2\pi} \partial\bar{\partial} |s|_h^2$$

Lemma: $\omega := \pi^* \omega_{\text{orb}} - c R(h)$ is Kähler on L .

and ω is invariant, seen \pm from orbifold at 0.

Use this to argue that $\exists f$ s.t. $\tilde{\omega}_f = \pi^*(\omega_{\text{orb}} + \frac{i}{2\pi} \partial\bar{\partial} f)$ smooth on X .

So by changing within $[\omega_{\text{orb}}]$, can pull back smoothly $\pi^* \omega_f$, carry over, keep it's.



BTW: RF preserves orbifold metrics; they show it turns blowdown into smooth Kähler orb. metric for $t > T$. (10)

Surfaces: Endgame studies.

• Paper II does the surf contraction of D_0 w/o assuming Calabi-Yau property
= End-game in Fano case.

• Paper I shows general type gets contracted to smooth min'l model. (Adjunction \Rightarrow exceptional \mathbb{P}^1 's have (-1) .
that get contracted by RF)

Then paper II proves that desired endgame occurs:
on min'l model have some (-2) -curves (not \mathbb{P}^1) that make Tsuji metric singular. In fact, canonical model has only orbifold (-2) -sing's \Rightarrow normalized limit is a smooth orbifold Kähler metric (Kobayashi.)
better than degen. RF or desing'n.

